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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as herein are being deposited with the United States Postal Service on December 5, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL896843705US**, addressed to the Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Attorney Docket No. ADAPP207

First Named Inventor: Manjunath  
Narayanaswamy

Kay Harlow

*Kay Harlow*

11002 U.S. PTO  
10/010846  
12/05/01

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Manjunath Narayanaswamy and Madhuresh Nagshain

For: METHOD AND APPARATUS FOR RAID LOAD BALANCING

Application Elements:

- ☒ 19 Pages of Specification, Claims and Abstract  
☒ 05 Sheets of Drawings (Informal)  
☒ 02 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
☐ Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY	OR	LARGE ENTITY
	NO. FILED	NO. EXTRA	RATE	FEE	RATE FEE
BASIC FEE			\$370	\$	OR \$740 \$740.00
TOTAL CLAIMS	20	-20 = 00	x09=	\$	OR x18 = \$
INDEP CLAIMS	04	-03 = 01	x42 =	\$	OR x84 = \$ 84.00
[ ] Multiple Dependent Claim Presented			\$140 =	\$	OR \$280 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR Total <b>\$824.00</b>

☒ Check No. 6150 in the amount of **\$864.00** is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. ADAPP207).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.

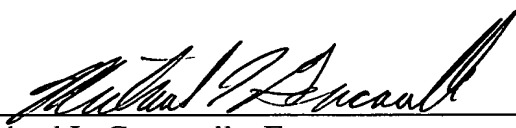
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. ADAPP207).

☒ Please send correspondence to the following address:

**Michael L. Gencarella**  
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Sunnyvale, CA 94085  
**Customer Number 25920**  
Tel (408) 749-6900  
Fax (408) 749-6901

Date: December 5, 2001

  
\_\_\_\_\_  
Michael L. Gencarella, Esq.  
Registration No. 44,703

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of	)	
Narayanaswamy et al.	)	Examiner: Unassigned
Application No. [To be assigned]	)	Art Unit: Unassigned
Filed: December 5, 2001	)	Docket No. ADAPP207
For: METHOD AND APPARATUS FOR	)	Date: December 5, 2001
RAID LOAD BALANCING	)	

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\_\_\_\_\_  
Kay Harlow

REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP

\_\_\_\_\_  
Michael L. Gencarella, Esq.  
Reg. No. 44,703

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